

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

MAY 28 2004

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	09/225,687
Filing Date	01/06/1999
First Named Inventor	Mills
Group Art Unit	1745
Examiner Name	Tsang Foster, Susy N
Attorney Docket Number	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Letter from Shelby T. Brewer to The Honorable James E. Rogan, December 21, 2001 and PTO response from Jason C. Roe, April 24, 2002 (see Attachment A)	
SS		PARK, "Patent Nonsense, Court Denies Blacklight Power Appeal", <i>What's New</i> , September 6, 2002, www.aps.org.WN/WN02/wn090602.html (see Attachment C)	
SS		RANDI, "Houdini and the Rabbi, The Patent Office Again, A Perpetual Motion/Emotion Car Breaks Down...", <i>Swift</i> , September 20, 2002, www.randi.org/jr/092002.html (see Attachment C)	
SS		VOSS, "'New Physics' Finds a Haven At the Patent Office", <i>Science</i> , Vol. 284, pp. 1252-1254, May 21, 1999 (see Attachment D)	
		PTO Memorandum For All Employees: Media Contact Policy from Acting Assistant Secretary of Commerce and Acting Commissioner of patents and Trademarks, June 22, 1999	
		Letter from Jeffrey S. Melcher to Ms. Esther Kepplinger, February 28, 2000 (see Attachment G)	
SS		Internet Discussion Forum, "Two Brand New Papers out at BLP's 'What's New' page," message No. 7191 on Hydrino Study Group, http://groups.yahoo.com/group/hyrino/message/7191 , September 27, 2003 (see Attachment H)	
SS		Internet Discussion Forum, "Even Skeptics can lose Objectivity," message No. 7410 on Hydrino Study Group, http://groups.yahoo.com/group/hyrino/message/7410 , October 27, 2003 (see Attachment H)	

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Examiner Signature	<i>Susy Tsang Foster</i>	Date Considered	11/5/2004
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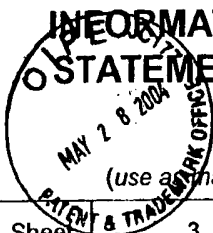
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AS		Internet Discussion Forum, "Two Competing Views of Reality Engage in a Battle for Total Control of Our Physics Paradigm", <i>Hydrino Study Group</i> , http://www.hydrino.org (see Attachment H)		
AS		Internet Discussion Forum, "Zimmerman's APS Centennial Meeting Talk", Transcript from Tom Stolper, November 10, 2003 (see Attachment H)		
AS		Internet Discussion Forum, "Zimmerman's APS Centennial Meeting Talk", Transcript from Peter Zimmerman and Tom Stolper, October 29, 2003 (see Attachment H)		
AS		Internet Discussion Forum, "Zimmerman's APS Centennial Meeting Talk", Transcript from Tom Stolper, October 28, 2003 (see Attachment H)		
AS		Internet Discussion Forum, "HSG: Even skeptics can lose objectivity", Transcript from Steve Menton, October 26, 2003 (see Attachment H)		
AS		Internet Discussion Forum, "HSG: Zimmerman's Insincere Questions", Transcript from Peter Zimmerman and Steve Menton, September 25, 2003 (see Attachment H)		
AS		Internet Discussion Forum, "Re: Re: Zero Electrostatic Self-Interaction Justified", Transcript from Peter Zimmerman, May 22, 2003 http://groups.yahoo.com/group/hydrino/message/6052 (see Attachment H)		
AS		Internet Discussion Forum, "HSG: Re: Bool Review by Dr. John Farrell", Transcript from Peter Zimmerman, February 23, 2004 (see Attachment H)		
		"Regarding PZ's Departing Post", includes many public posts on hydrino study group pp 1-78 (see Attachment I)	no date and not individually listed	
AS		PARK, "Perpetual Motion: Still Going Around", Special to the Washington Post, January 12, 2000, Page H03 (see Attachment J)		
		Letter from Jeffrey S. Melcher to Ms. Esther Kepplinger with Attachments A-E, January 19, 2001 (see Attachment K)	NAP	
AS		PLATT, "Testing the Current", The Washington Post, June 25, 2000, Page X05 (see Attachment M)		
Examiner Signature	Susy Tsang Foster		Date Considered	11/5/2004

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		USPTO Communication re Interview scheduled for February 21, 2003, February 12, 2001 (see Attachment N)	
		Letter from Senator Ron Wyden to The Honorable Todd Q. Dickinson with attachments, April 5, 2000 (see Attachment O)	
		Letter from Senator Robert G. Torricelli to Nicholas P. Godici with attachments, July 20, 2001 (see Attachment O)	
		Letter from Senator Jon S. Corzine to The Honorable Todd Q. Dickinson with attachments, August 2, 2001 (see Attachment O)	
		Letter from Senator Max Cleland to Ms. Jane Cooksey with attachments, March 24, 2000 (see Attachment O)	
		Letter from Senators Max Cleland and Ron Wyden to Chairman Patrick Leahy, December 20, 2001 (see Attachment O)	
		Letter from Senators Max Cleland and Ron Wyden to The Honorable Donald L. Evans, December 20, 2001 (see Attachment O)	
		Letter from Senators Jon S. Corzine and Robert G. Torricelli to The Honorable Donald L. Evans, December 21, 2001 (see Attachment O)	
		Email re Interview Summary from Jeffrey Simenauer to Ted Liu, February 13, 2003 (see Attachment P)	
AS	X	"APS E-Board Passes Resolution on Perpetual Motion Machines", APS NEWS ONLINE, August/September 2002, http://www.eps.org/aps/apsnews/0802/080212.html (see Attachment Q)	
	X	Letter from Elizabeth Barlow to John Allen, March 17, 2004 (see Attachment R) <i>Missing</i>	
	X	Letters from John Allen to Office of Counsel, US Department of Commerce with attachments, May 12, 2003, June 7, 2003, and March 10, 2004 (see Attachment R)	
Examiner Signature		Susy Tsang-Foster	
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AS	✓ 57	R. L. Mills, P. Ray, "Spectroscopic Characterization of Stationary Inverted Lyman Populations and Free-Free and Bound-Free Emission of Lower-Energy State Hydride Ion Formed by a Catalytic Reaction of Atomic Hydrogen and Certain Group I Catalysts, Quantitative Spectroscopy and Radiative Transfer, No. 39, sciencedirect.com, April 17, (2003). Attachment 57	
AS	✓ 56	R. M. Mayo, R. Mills, "Direct Plasmadynamic Conversion of Plasma Thermal Power to Electricity for Microdistributed Power Applications," 40th Annual Power Sources Conference, Cherry Hill, NJ, June 10-13, (2002), pp. 1-4. (Web Publication Date: March 28, 2002.) Attachment 56 at www.blacklightpower.com	
AS	✓ 55	R. Mills, P. Ray, R. M. Mayo, "Chemically-Generated Stationary Inverted Lyman Population for a CW HI Laser," European J of Phys. D, submitted. (Web Publication Date: April 22, 2002.) Attachment 55 at www.blacklightpower.com	
AS	✓ 54	R. L. Mills, P. Ray, "Stationary Inverted Lyman Population Formed from Incandescently Heated Hydrogen Gas with Certain Catalysts," J. Phys. D, Applied Physics, Vol. 36, (2003), pp. 1504-1509. (Web Publication Date: March 20, 2002.) Attachment 54 at www.blacklightpower.com	
AS	✓ 53	R. Mills, "A Maxwellian Approach to Quantum Mechanics Explains the Nature of Free Electrons in Superfluid Helium," Low Temperature Physics, submitted. (Web Publication Date: June 4, 2002.) Attachment 53 at www.blacklightpower.com	
AS	✓ 52	R. Mills and M. Nansteel, P. Ray, "Bright Hydrogen-Light Source due to a Resonant Energy Transfer with Strontium and Argon Ions," New Journal of Physics, Vol. 4, (2002), pp. 70.1-70.28. (Web Publication Date: October, 2002, when it became available on the New Journal of Physics website.) Attachment 52	
AS	✓ 51	R. Mills, P. Ray, R. M. Mayo, "CW HI Laser Based on a Stationary Inverted Lyman Population Formed from Incandescently Heated Hydrogen Gas with Certain Group I Catalysts," IEEE Transactions on Plasma Science, Vol. 31, No. 2, (2003), pp. 236-247. (Web Publication Date: Feb. 4, 2002.) Attachment 51 at www.blacklightpower.com	
AS	✓ 50	R. L. Mills, P. Ray, J. Dong, M. Nansteel, B. Dhandapani, J. He, "Spectral Emission of Fractional-Principal-Quantum-Energy-Level Atomic and Molecular Hydrogen," Vibrational Spectroscopy, Vol. 31, No. 2, (2003), pp. 195-213. Attachment 50 at www.blacklightpower.com	

Examiner Signature

Susy N Foster

Date Considered

11/5/2004

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		Group Art Unit	1745
		Examiner Name	Tsang Foster, Susy N
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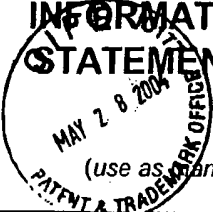
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	65	R. Mills, P. Ray, R. M. Mayo, "Spectroscopic Evidence for CW HT Lasing in a Water-Plasma," J. of Applied Physics, submitted. (Web Publication Date: Sept. 18, 2002.) Attachment 65	
SS	64	R. L. Mills, J. Sankar, A. Voigt, J. He, B. Dhandapani, "Low Power MPCVD of Diamond Films on Silicon Substrates," Journal of Vacuum Science & Technology A, submitted. (Web Publication Date: June 26, 2002.) Attachment 64 at www.blacklightpower.com	
SS	63	R. L. Mills, X. Chen, P. Ray, J. He, B. Dhandapani, "Plasma Power Source Based on a Catalytic Reaction of Atomic Hydrogen Measured by Water Bath Calorimetry," Thermochemica Acta, Vol. 406, Issue 1-2, (2003), pp. 35-53. (Web Publication Date: June 25, 2002.) Attachment 63 at www.blacklightpower.com	
	62	R. L. Mills, A. Voigt, B. Dhandapani, J. He, "Synthesis and Spectroscopic Identification of Lithium Chloro Hydride," Materials Characterization, submitted. Attachment 62	
SS	61	R. L. Mills, B. Dhandapani, J. He, "Highly Stable Amorphous Silicon Hydride," Solar Energy Materials & Solar Cells, Vol. 80, No. 1, pp. 1-20. (Web Publication Date: April 15, 2002.) Attachment 61 at www.blacklightpower.com	
SS	60	R. L. Mills, J. Sankar, A. Voigt, J. He, B. Dhandapani, "Synthesis of HDLC Films from Solid Carbon," Journal of Material Science, in press. (Web Publication Date: May 3, 2002.) Attachment 60 at www.blacklightpower.com	
SS	59	R. Mills, P. Ray, R. M. Mayo, "The Potential for a Hydrogen Water-Plasma Laser," Applied Physics Letters, Vol. 82, No. 11, (2003), pp. 1679-1681. (Web Publication Date: July 11, 2002.) Attachment 59 at www.blacklightpower.com	
SS	58	R. L. Mills, "Classical Quantum Mechanics," Physics Essays, submitted. (Web Publication Date: May 23, 2002.) Attachment 58 at www.blacklightpower.com	

Examiner Signature	<i>Susy Leany Foster</i>	Date Considered	11/5/2004
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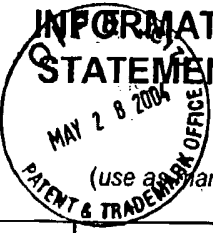
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AS	73	R. L. Mills, P. Ray, B. Dhandapani, J. He, "Energetic Helium-Hydrogen Plasma Reaction," AIAA Journal, submitted. (Web Publication Date: July 26, 2002.) Attachment 73 at www.blacklightpower.com	
	72	R. L. Mills, M. Nansteel, P. C. Ray, "Bright Hydrogen-Light and Power Source due to a Resonant Energy Transfer with Strontium and Argon Ions," Vacuum, submitted. Attachment 72	NAP
	71	R. L. Mills, P. Ray, B. Dhandapani, J. Dong, X. Chen, "Power Source Based on Helium-Plasma Catalysis of Atomic Hydrogen to Fractional Rydberg States," Contributions to Plasma Physics, submitted. Attachment 71	NAP
AS	70	R. Mills, J. He, A. Echezuria, B Dhandapani, P. Ray, "Comparison of Catalysts and Plasma Sources of Vibrational Spectral Emission of Fractional-Rydberg-State Hydrogen Molecular Ion," European Journal of Physics D, submitted. (Web Publication Date: Sept. 2, 2002.) Attachment 70 at www.blacklightpower.com	
AS	69	R. L. Mills, J. Sankar, A. Voigt, J. He, B. Dhandapani, "Spectroscopic Characterization of the Atomic Hydrogen Energies and Densities and Carbon Species During Helium-Hydrogen-Methane Plasma CVD Synthesis of Diamond Films," Chemistry of Materials, Vol. 15, (2003), pp. 1313–1321. (Web Publication Date: Dec. 31, 2002.) Attachment 69 at www.blacklightpower.com	
AS	68	R. Mills, P. Ray, R. M. Mayo, "Stationary Inverted Balmer and Lyman Populations for a CW HI Water-Plasma Laser," IEEE Transactions on Plasma Science, submitted. (Web Publication Date: Aug. 16, 2002.) Attachment 68 at www.blacklightpower.com	
AS	67	R. L. Mills, P. Ray, B. Dhandapani, J. He, "Extreme Ultraviolet Spectroscopy of Helium-Hydrogen Plasma," J. Phys. D, Vol. 36, (2003), pp. 1535–1542. (Web Publication Date: July 17, 2002.) Attachment 67 at www.blacklightpower.com	
AS	66	R. L. Mills, P. Ray, "Spectroscopic Evidence for a Water-Plasma Laser," Europhysics Letters, submitted. (Web Publication Date: Sept. 19, 2002.) Attachment 66 at www.blacklightpower.com	

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AS	81	R. Mills, P. Ray, B. Dhandapani, W. Good, P. Jansson, M. Nansteel, J. He, A. Voigt, "Spectroscopic and NMR Identification of Novel Hydride Ions in Fractional Quantum Energy States Formed by an Exothermic Reaction of Atomic Hydrogen with Certain Catalysts," European Physical Journal: Applied Physics, submitted. (Web Publication Date: Feb. 21, 2003.) Attachment 81 at www.blacklightpower.com	
AS	80	R. L. Mills, "The Fallacy of Feynman's Argument on the Stability of the Hydrogen Atom According to Quantum Mechanics," Foundations of Phys., submitted. (Web Publication Date: Jan. 27, 2003.) Attachment 80 at www.blacklightpower.com	
	79	R. Mills, J. He, B. Dhandapani, P. Ray, "Comparison of Catalysts and Microwave Plasma Sources of Vibrational Spectral Emission of Fractional-Rydberg-State Hydrogen Molecular Ion," Canadian Journal of Physics, submitted. Attachment 79	
AS	78	R. L. Mills, P. Ray, J. Dong, M. Nansteel, B. Dhandapani, J. He, "Vibrational Spectral Emission of Fractional-Principal-Quantum-Energy-Level Molecular Hydrogen," Bulletin of the Chemical Society of Japan, submitted. (Web Publication Date: Sept. 9, 2002.) Attachment 78 at www.blacklightpower.com	
AS	77	J. Phillips, R. L. Mills, X. Chen, "Water Bath Calorimetric Study of Excess Heat in 'Resonance Transfer' Plasmas," Journal of Applied Physics, submitted. (Web Publication Date: June 16, 2003.) Attachment 77 at www.blacklightpower.com	
AS	76	R. L. Mills, P. Ray, B. Dhandapani, X. Chen, "Comparison of Catalysts and Microwave Plasma Sources of Spectral Emission of Fractional-Principal-Quantum-Energy-Level Atomic and Molecular Hydrogen," Journal of Applied Spectroscopy, submitted. (Web Publication Date: Feb. 12, 2002.) Attachment 76 at www.blacklightpower.com	
AS	75	R. L. Mills, P. Ray, B. Dhandapani, J. He, "Novel Liquid-Nitrogen-Condensable Molecular Hydrogen Gas," Acta Physica Polonica A, submitted. (Web Publication Date: Oct. 29, 2002.) Attachment 75 at www.blacklightpower.com	
AS	74	R. L. Mills, P. C. Ray, R. M. Mayo, M. Nansteel, B. Dhandapani, J. Phillips, "Spectroscopic Study of Unique Line Broadening and Inversion in Low Pressure Microwave Generated Water Plasmas," Physics of Plasmas, submitted. (Web Publication Date: June 18, 2003.) Attachment 74 at www.blacklightpower.com	

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	89	R. Mills, P. C. Ray, R. M. Mayo, M. Nansteel, W. Good, P. Jansson, B. Dhandapani, J. He, "Hydrogen Plasmas Generated Using Certain Group I Catalysts Show Stationary Inverted Lyman Populations and Free-Free and Bound-Free Emission of Lower-Energy State Hydride," Technical Physics, submitted. Attachment 89	NAP
	88	R. Mills, J. Sankar, A. Voigt, J. He, P. Ray, B. Dhandapani, "Role of Atomic Hydrogen Density and Energy in Low Power CVD Synthesis of Diamond Films," JACS, in preparation. Attachment 88	NAP
	87	R. Mills, B. Dhandapani, M. Nansteel, J. He, P. Ray, "Liquid-Nitrogen-Condensable Molecular Hydrogen Gas Isolated from a Catalytic Plasma Reaction," J. Phys. Chem. B, submitted. Attachment 87	NAP
12	86	R. L. Mills, P. Ray, J. He, B. Dhandapani, M. Nansteel, "Novel Spectral Series from Helium-Hydrogen Evenson Microwave Cavity Plasmas that Matched Fractional-Principal-Quantum-Energy-Level Atomic and Molecular Hydrogen," European Journal of Physics, submitted. (Web Publication Date: April 24, 2003.) Attachment 86	
	85	R. L. Mills, P. Ray, R. M. Mayo, "Highly Pumped Inverted Balmer and Lyman Populations," New Journal of Physics, submitted. Attachment 85	NAP
12	84	R. L. Mills, P. Ray, J. Dong, M. Nansteel, R. M. Mayo, B. Dhandapani, X. Chen, "Comparison of Balmer α Line Broadening and Power Balances of Helium-Hydrogen Plasma Sources," Plasma Sources Science and Technology, submitted. (Web Publication Date: March 12, 2003.) Attachment 84	NAP
	83	R. Mills, P. Ray, M. Nansteel, R. M. Mayo, "Comparison of Water-Plasma Sources of Stationary Inverted Balmer and Lyman Populations for a CW HI Laser," J. Appl. Spectroscopy, in preparation. Attachment 83	NAP
12	82	R. Mills, J. Sankar, P. Ray, J. He, A. Voigt, B. Dhandapani, "Synthesis and Characterization of Diamond Films from MPCVD of an Energetic Argon-Hydrogen Plasma and Methane," J. of Materials Research, submitted. (Web Publication Date: May 7, 2003.) Attachment 82	NAP

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SL	97	R. L. Mills, P. Ray, B. Dhandapani, "Evidence of an Energy Transfer Reaction Between Atomic Hydrogen and Argon II or Helium II as the Source of Excessively Hot H Atoms in RF Plasmas," Contributions to Plasma Physics, submitted. (Web Publication Date: Sept. 26, 2003.) Attachment 97 <i>at www.blacklightpower.com</i>	
SL	96	J. Phillips, C.K. Chen, R. L. Mills, "Evidence of the Production of Hot Hydrogen Atoms in RF Plasmas by Catalytic Reactions Between Hydrogen and Oxygen Species," J. Phys. D., submitted. (Web Publication Date: Sept. 12, 2003.) Attachment 96 <i>at www.blacklightpower.com</i>	
SL	95	R. L. Mills, P. Ray, B. Dhandapani, "Excessive Balmer Line Broadening of Water-Vapor Capacitively-Coupled RF Discharge Plasmas" IEEE Transactions on Plasma Science, submitted. (Web Publication Date: Aug. 18, 2003.) Attachment 95 <i>at www.blacklightpower.com</i>	
SL	94	R. L. Mills, "The Nature of the Chemical Bond Revisited and an Alternative Maxwellian Approach," Physics Essay 94, submitted. (Web Publication Date: Aug. 6, 2003.) Attachment 94 <i>at www.blacklightpower.com</i>	
	93	R. L. Mills, P. Ray, M. Nansteel, J. He, X. Chen, A. Voigt, B. Dhandapani, "Energetic Catalyst-Hydrogen Plasma Reaction Forms a New State of Hydrogen," in preparation. Attachment 93	NAP
SL	92	R. L. Mills, P. Ray, M. Nansteel, J. He, X. Chen, A. Voigt, B. Dhandapani, Luca Gamberale, "Energetic Catalyst-Hydrogen Plasma Reaction as a Potential New Energy Source," European Physical Journal D, submitted. (Web Publication Date: June 6, 2003.) Attachment 92 <i>at www.blacklightpower.com</i>	
	91	R. Mills, P. Ray, "New H I Laser Medium Based on Novel Energetic Plasma of Atomic Hydrogen and Certain Group I Catalysts," J. Plasma Physics, submitted. Attachment 91	NAP
SL	90	R. L. Mills, P. Ray, M. Nansteel, J. He, X. Chen, A. Voigt, B. Dhandapani, "Energetic Catalyst-Hydrogen Plasma Reaction as a Potential New Energy Source," Am. Chem. Soc. Div. Fuel Chem. Prepr., Vol. 48, No. 2, (2003). Attachment 90	

Examiner Signature	<i>Susy Tsang Foster</i>	Date Considered	11/5/2004
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT MAY 28 2004 (use as many sheets as necessary)		Complete if Known	
		Application Number	09/225,687
		Filing Date	01/06/1999
		First Named Inventor	Mills
		Group Art Unit	1745
		Examiner Name	Tsang Foster, Susy N
Sheet 7	of 7	Attorney Docket Number	

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AS	98	R. L. Mills, Y. Lu, J. He, M. Nansteel, P. Ray, X. Chen, A. Voigt, B. Dhandapani, "Spectral Identification of New States of Hydrogen," J. Phys. Chem. B, submitted. (Web Publication Date: Nov. 18, 2003.) Attachment 98 at www.blacklightpower.com	
	99	R. L. Mills, Y. Lu, B. Dhandapani, "Spectral Identification of H ₂ (172)," submitted. Attachment 99	
AS	100	R. Mills, B. Dhandapani, J. He, "Highly Stable Amorphous Silicon Hydride from a Helium Plasma Reaction," Materials Science and Engineering, submitted. (Web Publication Date: Nov. 17, 2003.) Attachment 100 at www.blacklightpower.com	
AS	101	R. L. Mills, Y. Lu, Nansteel, J. He, A. Voigt, B. Dhandapani, "Energetic Catalyst-Hydrogen Plasma Reaction as a Potential New Energy Source," Division of Fuel Chemistry, Session: Chemistry of Solid, Liquid, and Gaseous Fuels, 227 th American Chemical Society National Meeting, March 28-April 1, 2004, Anaheim, CA. Attachment 101	

NAP

Examiner Signature	Susy Tsang Foster	Date Considered	11/5/2004
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